# Simulation of Arthroscopic Surgery Using MRI Data

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#### **ABSTRACT**

With the availability of Magnetic Resonance Imaging (MRI) technology in the medical field and the development of powerful graphics engines in the computer world the possibility now exists for the simulation of surgery using data obtained from an actual patient. This paper describes a surgical simulation system which will allow a physician or medical student to practice surgery on a patient without ever entering an operating room. This could substantially lower the cost of medical training by providing an alternative to the use of cadavers.

The project involves the use of volume data acquired by MRI which is converted to polygonal form using a corrected marching cubes algorithm. The data is then colored and a simulation of surface response based on springy structures [8] is performed in real time. Control for the system is obtained through the use of an attached analog-to-digital unit. A remote electronic device is described which simulates an imaginary tool having features in common with both arthroscope and laparoscope.

# INTRODUCTION

After consultation with persons in the medical profession we have decided to build a system to simulate arthroscopic surgery on the human knee. Of particular interest are the sports-related injuries which are becoming more and more common. Some reasons for this decision are:

- The surgery is relatively simple.
- Video of this type of surgery is readily available.
- MRIs are already regularly used to diagnose patients who may later need arthroscopic surgery.
- MRI data sets of human knees are relatively common (although they can be difficult to obtain due to legal barriers.)
- The surgery is usually done using a remote viewing device (an arthroscope) attached to a monitor.

The surgery is generally not life-threatening.

We are currently in the process of developing the surgical simulation system described here. Our software presently runs on a Silicon Graphics Onyx. An attached electronic device provides three dimensional input to a real-time display program running under X and OpenGL. The simulation can also be run on an Indigo 2, provided that the workstation has sufficient memory.

It is our intention that the initial version of the software be targeted primarily as an educational tool for use in medical schools until any bugs which exist can be worked out. By allowing students to practice surgery on a simulation they can be better prepared for their first surgery on an actual cadaver. This will also allow for the students to spend more time practicing surgery before entering the professional world.

#### **BACKGROUND**

The simulation of surgery brings into focus problems from a broad set of disciplines. One of the difficulties which exists is in obtaining data appropriate for use in the simulation. Fortunately for us, physicians already commonly employ a scanning device called a magnetic resonance imager in diagnosing patients for arthroscopic surgery.

An MRI is a scanning device which uses electromagnetic radiation to create a series of two-dimensional images of the human body. By placing stacks of MRI images together we obtain a volume data set which can be used in rendering a three-dimensional image. While the images produced by MRI show tissues in a manner which is easily recognizable to the human eye, the data cannot be easily interpreted by computer. Unlike Computerized Axial Tomography (CAT) scans, the data from an MRI does not represent tissue density but rather the quantity of residual electromagnetic radiation present after the initial source is removed.

As the MRI data does not contain tissue type information (in any direct sense) it is not possible for an algorithm to make a binary decision to discern two types of tissue from one another. The solution, although unattractive, is to have a professional radiologist "color" all of the plates manually. By coloring, we mean that the individual must identify all tissues on each plate and assign a value. Once this process is completed we can proceed to a marching cubes rendering of the image.

Why use the marching cubes algorithm? In order to create a convincing simulation we need to be able to achieve a certain frame rate in the animation. So far, the most powerful animation hardware today requires the use of polygonal objects. Also, the marching cubes algorithm creates a very regular distribution of vertices which we will see later on is useful in our animation process.

## **Facet Rendering of Marching Cubes Output**

One of the problems with the marching cubes algorithm is that it does not reliably produce correct tracing directions for the vertices in output triangles [10]. The resultant erroneous normals produce distracting "holes" if the image is rendered using a facet algorithm. We present here a simple solution to this problem that fixes triangles which were traced incorrectly by comparing their surface normals to the gradients present in the original volume data.

We start with the general formula for computing the surface normal of a triangle. Notice that the equation is sensitive to the direction in which the vertices are traced. Here the trace direction determines if the normal is heading into or out of the screen:

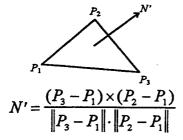


Fig. 1: Surface normal using three vertices.

Now we look at the set of equations describing the surface normal computation using a 6-point gradient:

$$N.x = \frac{G(i+1, j, k) - G(i-1, j, k)}{2}$$

$$N.y = \frac{G(i, j+1, k) - G(i, j-1, k)}{2}$$

$$N.z = \frac{G(i, j, k+1) - G(i, j, k-1)}{2}$$

Fig. 2: Surface normal using a 6-point gradient.

We now use the gradients to verify the trace direction for marching cubes output triangles. For each marching cubes triangle T, calculate the surface normal N' as in Figure 1 and N as in Figure 2. After normalizing both normals we take the dot product of the two and do the following:

if  $S \ge 0$  then do nothing. if S < 0 then reverse the trace direction of vertices in triangular face T.

The above technique is an after-the-fact method for repairing triangles which were traced incorrectly by the marching cubes algorithm. By making sure that all facets are traced in the right direction we will be able to use this information to calculate proper surface normals quickly during the rendering phase of animation.

## **Arthroscopic Surgery**

One of the principal problems in simulating surgery is that the vast medical field comes into play. There are as many surgical techniques as there are types of injury. In order to limit our scope to a practical level, we must think in terms of modeling the human body as opposed to creating a system for teaching correct surgical technique. Here the specifics of surgical tools and technique are not the issue so much as being able to create a realistic simulation. Only after a realistic simulation of the human body has been developed can we consider the specific techniques of surgery.

Earlier we mentioned that we are developing an electronic data acquisition system that will simulate features of both laparoscope and arthroscope. Note that arthroscopes are used in surgery on the joints while laparoscopes are used in abdominal surgery. While the arthroscope is simply a fiber optic viewing device, some laparoscopes feature surgical implements which are remotely controlled by the surgeon. It is these controls which I intend to simulate with the electronic device. The computer's monitor will serve to simulate the arthroscope's viewing screen. The reason for this is that the remote control limits the degrees of freedom for the user and therefore makes development of the user interface less complex.

Why not simply simulate laparoscopic surgery? Unfortunately the tissues involved do not show up well on either CAT or MRI scans due to the tendency of the patient to move (breathe) while being scanned.

# THE PROCESS OF SURGERY SIMULATION

The process of surgery simulation has a great deal to do with animation. Here we present a procedure for doing the simulation which should be able to run at a good frame rate:

- System determines the primary vertex from the user selection by using a three-dimensional hashing function.
- 2. The region selection function uses the tissue type of the primary vertex to determine which neighboring vertices are in the primary active region.
- 3. The push-through determiner decides if there is sufficient force being applied to an object to warrant the creation of a secondary vertex.
- 4. If a secondary vertex is created, the region selection function is again called with a parameter to create a secondary active region which is generally smaller than the first. (No tertiary vertices are considered.)
- The active regions are handed over to the springy surface animation algorithm which uses tissue type to adjust the spring properties.
- 6. The user's tool selection determines the control operator for the springy surface animation algorithm. (touch, grab, nibble)
- Surface is modified for this frame, normals are recomputed and the frame is sent to the screen.

# Selection of the Primary Vertex

Every movement that the user makes in three dimensional space must have an associated collision test to determine if a region should become active. The problem of doing these collision tests in real time is that we often have an large set of vertices with which to compare. Fortunately there is a simple solution involving the use of a three dimensional hashing function:

$$hash(i, j, k) = i + j \cdot x \max + k \cdot x \max \cdot y \max$$

For every point (i, j, k) selected by the user we use the three dimensional hashing function hash to generate a pointer to the hashing table which in turn contains pointers to vertex table entries.

The hashing table was generated during the initialization phase of the program by going through the vertex table and using each vertex point as a parameter to the hashing function. The hashing table is then given an entry containing a pointer to the original vertex table entry.

# The Region Selection Function

Region Selection Functions (RSFs) are routines which determine the region to become active using a starting vertex and its coloring information. All RSFs work by spreading from the starting vertex in all directions on the surface. By tracing edges to new vertices we are able to prevent jumping to tissues which are near the active region but should not be affected.

The RSFs differ in how they determine when to stop spreading out. For example, certain types of long muscle would have an RSF that is an oval shape oriented along the length of the muscle. Other RSFs might describe regions whose influence is purely circular. When the vertices being traced have spread to the boundaries they are marked as being "nailed" for later use in the springy surface animation algorithm. A nailed vertex will not move during the springy surface animation. This is a necessary simplification as the springy surface computations need to occur relatively quickly.

# The Push Through Determiner

After a user has selected a primary vertex and has moved it from its original position the possibility exists for a secondary vertex to come into play. The secondary vertex in necessary to describe the effect on the other side of a soft object when the force applied on the original vertex has passed through the object.

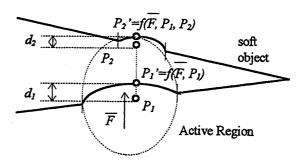


Fig. 3: Determining secondary active regions.

To determine a secondary vertex we draw a line from the original position of primary vertex  $P_1$  to the current position of primary vertex  $P_1$ ' as seen in Figure 3. The length of this line corresponds to the amount of force that the user has applied. We then extend the line by using the magnitude and sign of the applied force to determine the respective amount and direction of extension. By using preselected active regions we do effectively limit the amount of force we can simulate being applied to a

vertex. This should not be a problem as it is unlikely that a surgeon will be needing to exert excessive force in the course of using the simulation.

Note that there is no absolute guarantee that the first similar surface encountered is part of a single object. However, in the case of human knee data sets it is highly probable that this secondary surface is part of the same object. The limits imposed by the region selection functions serve to further prevent uninvolved surfaces from being animated.

## **Secondary Active Regions**

If the push through determiner has located a secondary vertex it then becomes necessary to add a secondary active region. This secondary active region is computed using rules similar to that of the primary active region but with one exception. The area of the secondary active region is a function of the amount of force being applied and the distance between the primary and secondary vertices.

# The Springy Surface Animation Algorithm

The main engine of the simulation is a springy surface algorithm which follows along the work of Haumann [8] at Ohio State University. In our tissue simulation model we have two distinct sets of springs which we are animating. The first set of springs exists along each edge in the selected region. The individual springs are axially springy but radially rigid. Each edge shared by two triangles forms a hinge. Unlike many springy surface models, there is no spring holding hinged triangles in place. We instead have springs between the current vertex positions and their original positions. Under this model the objects being simulated will have an affinity for their original shape. Unless a tool is being used which calls for a permanent shape change, all vertices will eventually return to their original configuration.

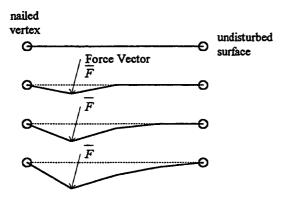


Fig. 4: Applying force to a springy surface.

Another difference between our springy surface model and the more commonly used ones is that our vertices are massless. The scale on which the surgery is taking place is so small that tissues respond as if they had no mass at all. We are able to take advantage of this by using massless vertices to lighten the computational overhead.

#### **Tools of Surgery**

For the purpose of our simulation there are essentially only three tools which can be used. There is the probing tool which allows the user to poke and press into an area, the clamp or grabber tool which allows the user to both push and pull at an area, and the nibbling tool which "removes" tissue at the specific area.

While the probe tool allows the user to push along a surface causing dynamic reallocation of the selected regions, the grabber tool forces the user to stay in the selected region until the grip is released. Furthermore, the nibbling tool actually does not cut but merely pushes vertices away from the tool. This simplification prevents us from having to dynamically reconstruct the connections between triangular faces.

#### **Display Phase**

After the new vertex positions have been computed all that remains is to determine the new surface normals for the active regions and send the data to the renderer.

#### THE ARTHROSCOPE SIMULATION DEVICE

We describe a simple microcontroller circuit which can be used interface analog controls to a host computer. Based on Motorola's 68HC11 microcontroller, the circuit described here is an eight-bit, eight-channel analog to digital converter featuring an optional status display. Data is sampled from up to eight independent analog sources and is sent via serial connection to the host machine.

The device is intended to be operated in a polled mode where the host system transmits a sample request for a particular analog device numbered 1 through 8. The microcontroller then decodes this command, performs the sample, and sends the resultant information back to the host.

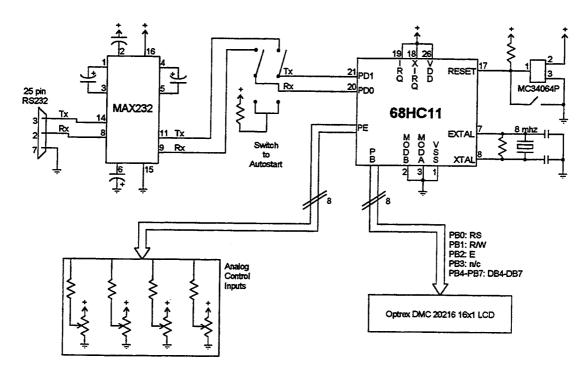


Fig. 5: Simulation control device.

#### **FUTURE WORK**

One of the main drawbacks of the method described above is that it limits the area which can have the spring algorithm applied to it. This limitation is necessarily imposed due to the processing capacity of single-CPU computer systems. However, there is the possibility of a much more accurate simulation if all vertices could be included in the springy surface algorithm simultaneously. With this in mind we are working on an interface for the Cray T3D massively parallel processor system. In this model the T3D would run springy surface calculations while a Silicon Graphics Onyx would render the output. A high-speed HIPPI connection between the two machines should provide enough bandwidth to perform the simulation.

Expanded computing power might also allow for the implementation of multiple region selection. With this we would be able to simulate a tool interacting with a surface at more than one point. This would increase the amount of realism in our simulation.

Another area of improvement under consideration is the use of a Gouraud shading model. Since the only additional requirement of this model is that we compute normals for each vertex it should be possible to add this feature without major modification to our source code.

#### **CONCLUSION**

By using scanned data we have greatly increased the level of realism in surgery simulation over systems which use mathematical tissue models. In order to limit the explosive computational complexity we have at many points opted for efficient simulation algorithms over algorithms which produce realistic simulations. What we gain by the trade off is a decent frame rate for our animation. A quality simulation means nothing if the frame rate is so low that the system becomes unusable.

A wide range of expertise is needed to successfully develop a system for the simulation of surgery. While technical problems often have obvious solutions, these solutions do not necessarily provide for the best possible simulation. In order for a quality system to be developed it is necessary to do extensive consultation with medical professionals and a review of the equipment and procedures involved.

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